INTERNET FORM NLRB-501

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
03-CA-243522	6/19/2019	

INSTRUCTIONS:

File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT b. Tel. No. (877) 798-3757 a. Name of Employer Tesla c. Cell No. f. Fax No. d. Address (Street, city, state, and ZIP code) e. Employer Representative 1339 South Park Avenue Marci Gregson, HR Manager g. e-Mail Buffalo, NY 14220 mgregson@tesla.com h. Number of workers employed approximately 350 i. Type of Establishment (factory, mine, wholesaler, etc.) j. Identify principal product or service Factory produce solar roofing tiles k. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) and (list of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are unfair practices affecting commerce within the meaning of the Act and the Postal Reorganization Act. 2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices) On or about (b) (6), (b) (7)(C) 2019, the above named Employer, through its officers, agents and representatives, terminated (b) (6), (b) (7)(C) retaliation for their union support. Since on or about (b) (6), (b) (7)(C), 2019, the above named Employer, through its officers, agents and representatives, has intentionally interfered with (b) (6), (b) (7)(C) efforts to seek employment with other employers in retaliation for outspoken union support. 3. Full name of party filing charge (if labor organization, give full name, including local name and number)
United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union, AFL-CIO, CLC or United Steelworkers 4b. Tel. No. 4a. Address (Street and number, city, state, and ZIP code) 412-562-2529 Five Gateway Center Room 913 Pittsburgh, PA 15222 4c Cell No 412-418-4333 4d. Fax No. 412-562-2555 4e. e-Mail bmanzolillo@usw.org 5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization) United Steelworkers Tel. No. 6. DECLARATION 412-562-2529 I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief. Office, if any, Cell No. Brad Manzolillo, Organizing Counsel Brad Manzolillo (signature of representative or posson making charge) 412-418-4333 (Print/type name and title or office, if any) Fax No. 412-562-2555 e-Mail 6/17/19 Five Gateway Center Room 913 Pittsburgh, PA 15222 bmanzolillo@usw.org (date)

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.





REGION 3 130 S Elmwood Ave Ste 630 Buffalo, NY 14202-2465 Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972 Download NLRB Mobile App

June 19, 2019

Marci Gregson, HR Manager Tesla 1339 South Park Avenue Buffalo, NY 14220

Re: Tesla

Case 03-CA-243522

Dear Ms. Gregson:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney JESSICA L. CACACCIO whose telephone number is (716)398-7022. If this Board agent is not available, you may contact Supervisory Field Attorney Gregory Lehmann whose telephone number is (518)419-6254.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board

agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB

office upon your request. *NLRB Form 4541, Investigative Procedures* offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PAUL J. MURPHY Regional Director

Paul J. Morf

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire





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June 19, 2019

Brad Manzolillo, Organizing Counsel
United Steel, Paper And Forestry, Rubber Manufacturing, Energy, Allied Industrial And Service
Workers, Local 366.20,
Five Gateway Center
Room 913
Pittsburgh, PA 15222

Re: Tesla

Case 03-CA-243522

Dear Mr. Manzolillo:

The charge that you filed in this case on June 19, 2019 has been docketed as case number 03-CA-243522. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

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Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you

fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PAUL J. MURPHY Regional Director

Paul J. Mary

INTERNET FORM NLRB-501 (2-08)

NATIO Amended CHARGE AGAINST EMPLOYER

ALLE	DSIAI	ES OF	AMER	ICA
NAL	LABOR	RELA	TIONS	BOARD

	FORM EXEMPT UNDER 44 U.S.C 3512	
DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
3-CA-243522	July 15, 2019	

a. Name of Employer		
a. Name of Employer	R AGAINST WHOM CHARGE IS BROUGHT	b. Tel. No. 8770798-3757
Tesla		0//0/30-3/3/
		c. Cell No.
		f. Fax No.
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	- A4-11
1339 South Park Ave., Buffalo, NY 14220	Marci Gregson, HR Manager	g. e-Mail
		mgregson@tesla.com
		h. Number of workers employed ~350
Type of Establishment (factory, mine, wholesaler, etc.) Factory	j. Identify principal product or service Solar roofing tiles	
. The above-named employer has engaged in and is engaged	ging in unfair labor practices within the meaning of s	ection 8(a), subsections (1) and (list
subsections) (3)		abor Relations Act, and these unfair labor
practices are practices affecting commerce within the me within the meaning of the Act and the Postal Reorganizat	aning of the Act, or these unfair labor practices are	
2. Basis of the Charge (set forth a clear and concise statem		practices)
See attached.	one of the facia constituting the uneget untal labor	practicesy
Joe attacinea.		
*		
. Full name of party filing charge <i>(if labor organization, giv</i> Jnited Steel, paper and Forestry, Rubber Mani	e full name, including local name and number) ufacturing Energy Allied Industrial and S	service Workers International
	e <i>full name, including local name and number)</i> ufacturing, Energy, Allied Industrial and S	ervice Workers International
Union, AFL-CIO, CLC or United Steelworkers	e full name, including local name and number) ufacturing, Energy, Allied Industrial and S	4b Tol No
Union, AFL-CIO, CLC or United Steelworkers a. Address (Street and number, city, state, and ZIP code)		Service Workers International 4b. Tel. No. 412-562-2529
Union, AFL-CIO, CLC or United Steelworkers a. Address (Street and number, city, state, and ZIP code)		4b Tol No
Union, AFL-CIO, CLC or United Steelworkers a. Address (Street and number, city, state, and ZIP code)		4b. Tel. No. 412-562-2529 4c. Cell No. 412-418-4333
Union, AFL-CIO, CLC or United Steelworkers a. Address (Street and number, city, state, and ZIP code)		4b. Tel. No. 412-562-2529 4c. Cell No. 412-418-4333 4d. Fax No. 412-562-2555
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Union, AFL-CIO, CLC or United Steelworkers a. Address (Street and number, city, state, and ZIP code) ive Gateway Center Room 913 Pittsburgh, PA	15222	4b. Tel. No. 412-562-2529 4c. Cell No. 412-418-4333 4d. Fax No. 412-562-2555 4e. e-Mail bmanzolillo@usw.org
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Within the past 6 months, the above named Employer, through its officers, agents, and representatives has interfered with, restrained, and coerced its employees in the exercise of their rights guaranteed by Section 7 of the Act, as amended, by acts and conduct including interrogation, threats, and surveillance of employees' union and/or protected concerted activities.

On or about (b) (6), (b) (7)(c), 2019 the above named Employer, through its officers, agents, and representatives, terminated (b) (6), (b) (7)(C) and other employees in retaliation for their union support and/or protected concerted activities.

Since on or about (b) (6), (b) (7)(c), 2019, the above named Employer, through its officers, agents, and representatives, has intentionally interfered with (b) (6), (b) (7)(C) efforts to seek employment with other employers in retaliation for union support and/or protected concerted activities.





REGION 3 130 S Elmwood Ave Ste 630 Buffalo, NY 14202-2465 Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972 Download NLRB Mobile App

July 15, 2019

Marci Gregson, HR Manager Tesla 1339 South Park Avenue Buffalo, NY 14220

Re: Tesla

Case 03-CA-243522

Dear Ms. Gregson:

Enclosed is a copy of the first amended charge that has been filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney JESSICA L. CACACCIO whose telephone number is (716)398-7022. If the agent is not available, you may contact Supervisory Field Attorney Gregory Lehmann whose telephone number is (518)419-6254.

<u>Presentation of Your Evidence</u>: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the first amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

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<u>Procedures:</u> Your right to representation, the means of presenting evidence, and a description of our procedures, including how to submit documents, was described in the letter sent to you with the original charge in this matter. If you have any questions, please contact the Board agent. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence

Case 03-CA-243522

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Very truly yours,

PAUL J. MURPHY Regional Director

Roulf. Mary

Enclosure: Copy of first amended charge

cc: Jaime L Bodiford, Senior Counsel, Employment Tesla, Inc. 901 Page Avenue Fremont, CA 94538

> Ross H. Friedman, Esquire Morgan, Lewis & Bockius LLP 77 West Wacker Drive 32 Flr 3205 Chicago, IL 60601-5094

Lauren Emery, ESQ. Morgan Lewis & Bockius, LLP 1111 Pennsylvania Avenue, NW Washington, DC 20004-2541

David R. Broderdorf, ESQ. Morgan Lewis & Bockius, LLP 1111 Pennsylvania Avenue, NW Washington, DC 20004-2541





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Workers, Local 366.20,
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Paul J. Mary

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

Tesla	
and	CASE 03-CA-243522
EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATI Employer, Tesla	VE OF
IN THE ABOVE-CAPTIONED MATTER.	
CHECK THE APPROPRIATE BOX(ES) BELOW: REPRESENTATIVE IS AN ATTORNEY IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ABOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WE DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENT CASEHANDLING MANUAL. (REPRESENTATIVE INFORMAL)	ADDITION TO THOSE DESCRIBED BELOW, THIS VILL RECEIVE ONLY COPIES OF CERTAIN TS AS DESCRIBED IN SEC. 11842.3 OF THE
David R. Broderdorf	
MAILING ADDRESS: Morgan, Lewis & Bockius LLP, 1111 Pennsy	/Ivania Ave., NW, Washington, DC 20004
E-MAIL ADDRESS: david.broderdorf@morganlewis.com	
OFFICE TELEPHONE NUMBER: 202-739-5817	
CELL PHONE NUMBER:	FAX: 312.324.1001
SIGNATURE: Pariel Breder	de L

 $^{^{\}rm I}$ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

Tesla	
and	CASE 02 CA 242522
and	CASE 03-CA-243522
EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATI	IVE OF
Employer, Tesla	
N THE ABOVE-CAPTIONED MATTER.	
A THE ABOVE CAI HOURD MATTER.	
CHECK THE APPROPRIATE BOX(ES) BELOW: REPRESENTATIVE IS AN ATTORNEY	
IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY VEOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENT CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS VILL RECEIVE ONLY COPIES OF CERTAIN
(REPRESENTATIVE INFORM	MATION)
NAME: Lauren Emery	
MAILING ADDRESS: Morgan, Lewis & Bockius LLP, 1111 Penns	ylvania Avenue, NW, Washington, DC
E-MAIL ADDRESS: lauren.emery@morganlewis.com	
E-MAIL ADDRESS: lauren.emery@morganlewis.com OFFICE TELEPHONE NUMBER: 202.739.5203	
E-MAIL ADDRESS: lauren.emery@morganlewis.com OFFICE TELEPHONE NUMBER: 202.739.5203 CELL PHONE NUMBER:	_ _{FAX:} _202.739.3001

 $^{^{\}rm I}$ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

Tesla	
and	CASE 03-CA-243522
	_
EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570
THE UNITED SEALES HERBRY ENTERING ABBE AD ANCIE AC DEDDECEMENT ATT	um an
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATI	VE OF
Employer, Tesla	
N THE ABOVE-CAPTIONED MATTER.	
N THE ABOVE-CAI HONED MATTER.	
CHECK THE APPROPRIATE BOX(ES) BELOW:	
REPRESENTATIVE IS AN ATTORNEY	
IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THE CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN A BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WE DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENT CASEHANDLING MANUAL. (REPRESENTATIVE INFORM	ADDITION TO THOSE DESCRIBED BELOW, THIS VILL RECEIVE ONLY COPIES OF CERTAIN TS AS DESCRIBED IN SEC. 11842.3 OF THE
NAME: Ross Friedman	
MAILING ADDRESS: Morgan, Lewis & Bockius LLP, 77 West Wac	cker, 32 Flr 3205, Chicago, IL 60601-5094
E-MAIL ADDRESS: ross.friedman@morganlewis.com	
OFFICE TELEPHONE NUMBER: 312.324.1172	
	FAX: 312.324.1001
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REGION 03 130 S Elmwood Ave Ste 630 Buffalo, NY 14202-2465

Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972

August 29, 2019

Jaime L Bodiford, ESQ., Senior Counsel, Employment Tesla, Inc. 901 Page Avenue Fremont, CA 94538

Ross H. Friedman, Esquire Morgan, Lewis & Bockius LLP 77 West Wacker Drive 5th Floor Chicago, IL 60601-5094

Lauren Emery, ESQ. Morgan Lewis & Bockius, LLP 1111 Pennsylvania Avenue, NW Washington, DC 20004-2541

David R. Broderdorf, ESQ. Morgan Lewis & Bockius, LLP 1111 Pennsylvania Avenue, NW Washington, DC 20004-2541

Re: Tesla

Case 03-CA-243522

Dear Ms. Bodiford, Mr. Friedman, Ms. Emery, Mr. Broderdorf:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

/s/PAUL J. MURPHY

PAUL J. MURPHY Regional Director

cc: Marci Gregson, HR Manager Tesla 1339 South Park Avenue Buffalo, NY 14220 Brad Manzolillo, Organizing Counsel United Steel, Paper And Forestry, Rubber Manufacturing, Energy, Allied Industrial And Service Workers, Local 366.20,

Five Gateway Center

Room 913

Pittsburgh, PA 15222